

THIRD PARTY TRADING AUTHORITY FORM

This form is to be completed if you wish to authorise another person to trade on your account. The authority form should be signed by **ALL** account holders and the authorised person.

Complete this form using **BLACK INK** and print well within the boxes in **CAPITAL LETTERS**.
Mark appropriate answer boxes with a cross (X).

1. YOUR DETAILS

Account Name	
Account Number	

2. INDIVIDUAL AUTHORITIES

1. APPOINTMENT OF AN INDIVIDUAL AS A NOMINATED AGENT AND/OR APPOINTMENT OF AN INDIVIDUAL WITH ENQUIRY AUTHORITY

1.1 APPOINTMENT OF FIRST INDIVIDUAL

I/We authorise the individual listed below to give instructions, operate my/our account in accordance with the terms and conditions set out below and to receive the following access level to my/our account.

Nominated Agent *OR* **Enquiry authority**

Title

Mr Mrs Miss Ms Other (please specify)

Name of first individual

Address

Suburb

State

Postcode

Contact Number

Work	Home	Mobile
<input style="width: 95%;" type="text"/>	<input style="width: 95%;" type="text"/>	<input style="width: 95%;" type="text"/>

Existing USER ID (if applicable)

Signature of first individual

Date (DD/MM/YYYY)

 / /

Corporate Title (if applicable)

1.2 APPOINTMENT OF SECOND INDIVIDUAL

I/We authorise the individual listed below to give instructions, operate my/our account in accordance with the terms and conditions set out below and to receive the following access level to my/our account.

Nominated Agent *OR* **Enquiry authority**

Title

Mr Mrs Miss Ms Other (please specify)

Name of second individual

Address

Suburb

State

Postcode

Contact Number

Work	Home	Mobile
<input style="width: 95%;" type="text"/>	<input style="width: 95%;" type="text"/>	<input style="width: 95%;" type="text"/>

Existing USER ID (if applicable)

Signature of second individual

Date (DD/MM/YYYY)

 / /

Corporate Title (if applicable)

3. COMPANY AUTHORITIES

You can use this section to appoint a company or other third party (for example a Financial Planning company, accounting firm) to have access and operate your account/

I/We authorise (name of the company/firm)

Company Name

ACN/ABN

Company Contact

Address

State

Postcode

4. COMPANY AUTHORITIES (con't)**Contact**

Work

Mobile

Email

Executed by authorised company in accordance with section 127 of the Corporations Act 2001

Director/Secretary

Director/Secretary

5. IDENTIFICATION

To meet the Requirements of the Anti Money-Laundering and Counter-Terrorism Financing Act (2007) each Authorised Representative must attach the following documents:

Proof of Name and date of Birth;

A minimum of one of the following must be supplied as an Original certified copy;

-
- Passport
-
- Driver's Licence
-
- Birth Certificate

Proof of Name and date of Birth;

A minimum of one of the following must be supplied as an Original certified copy;

-
- Passport
-
- Driver's Licence
-
- Birth Certificate

Proof of Residential Address;

A minimum of one of the following must be supplied showing the current residential address for each applicant.

-
- Australian Bank Statement less than 6 month old
-
-
- Australian Utility Bill less than 6 months old
-
-
- Drivers Licence (may only be used if not supplied to prove name and DOB)

Proof of Residential Address;

A minimum of one of the following must be supplied showing the current residential address for each applicant.

-
- Australian Bank Statement less than 6 month old
-
-
- Australian Utility Bill less than 6 months old
-
-
- Drivers Licence (may only be used if not supplied to prove name and DOB)

6. ACCOUNT HOLDER(S) SIGNATURES**Signature of Account Holder(s)**

Account Holder 1

Account Holder 2

Signature of Account Holder(s)

Account Holder 1

Account Holder 2

Date (DD/MM/YYYY)

Date (DD/MM/YYYY)

7. TERMS AND CONDITIONS**GENERAL TERMS**

1. Only you may appoint a third party to provide instructions on your account.
2. You:
 - 2.1. indemnify us against all loss, liabilities and costs incurred directly or indirectly as a result of the appointment of a third party;
 - 2.2. indemnify us against all loss, liabilities and costs incurred directly or indirectly in connection with any action by a third party under their appointment or any payment made from your account on their instruction; and
 - 2.3. release us from claims and liabilities in connection with any act or omission relating to the appointment of a third party to your account.
3. However, we remain liable for any loss or liability which, by operation of law we cannot exclude.
4. This authority takes effect on the date that we amend our records to note the appointment and continues until you cancel it by informing us in writing. Cancellation also takes effect on the date that we amend our records to note the change.
5. We may cancel the appointment of a third party as an authority on your account by informing you in writing.
6. In the event of the death of an account holder, the authority given under this form will automatically terminate.
7. Third parties may not set up additional accounts on your behalf or give other third parties access or authority on your account.
8. Where you give authority to a company or firm such as your financial planner or accountant:
 - 8.1. the company or firm will give us details of people who can transact on its behalf;
 - 8.2. you acknowledge and agree that the company or firm may do this and that the people nominated by the company or firm can withdraw from your account in accordance with the authority you have given it;
 - 8.3. the company or firm must inform us in writing if any nominated person no longer has authority. If we have not been advised in writing that the person no longer has authority, then that person may still operate your account and you cannot claim that he or she is not acting for you until we are told by the company or firm in writing.
 - 8.4. You agree that this authorisation is in addition to and in no way limits or restricts any rights which BBY has under any other agreements between BBY and you.

TERMS RELATING TO THE ENQUIRY AUTHORITY

9. Enquiry authority
 - 9.1. You authorise the third party to have access to information about your account;
 - 9.2. You do not authorise the third party to provide any transaction instructions on your behalf concerning the sale and purchase of any financial product including but not limited to securities, make any changes to your account details or close your account.

****Please mail original documents to: BBY smarTrader, PO Box 4663, SYDNEY, NSW, 1042****